

**UNITED STATES BANKRUPTCY COURT  
CENTRAL DISTRICT OF CALIFORNIA  
RIVERSIDE DIVISION**

In re: Douglas J Roger MD Inc A Professional Corporation § Case No. 6:13-bk-27344-MH  
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§  
Debtor(s) §

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**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

Arturo M. Cisneros, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$1,022,760.05</u> (without deducting any secured claims)	Assets Exempt: <u>\$0.00</u>
Total Distribution to Claimants: <u>\$182,198.86</u>	Claims Discharged Without Payment: <u>\$2,972,080.29</u>
Total Expenses of Administration: <u>\$312,092.61</u>	

3) Total gross receipts of \$494,291.47 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$494,291.47 from liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	6,357,171.10	7,267,934.86	182,198.86	182,198.86
PRIORITY CLAIMS				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	534,441.65	534,441.65	312,092.61
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	1,397,438.16	276,625.25	276,625.25	0.00
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	87,589.70	2,999,914.59	2,884,490.59	0.00
<b>TOTAL DISBURSEMENTS</b>	<b>\$7,842,198.96</b>	<b>\$11,078,916.35</b>	<b>\$3,877,756.35</b>	<b>\$494,291.47</b>

4) This case was originally filed under Chapter 7 on 10/20/2013.  
The case was pending for 84 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 10/30/2020

By: /s/ Arturo M. Cisneros

Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

## EXHIBITS TO FINAL ACCOUNT

### EXHIBIT 1 - GROSS RECEIPTS

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
Accounts Receivable being collected by CMM	1121-000	204,099.37
Funds held by Receiver, Jerry Wang as of 9/30/2013	1121-000	1,741.50
2006 Chrysler 300	1129-000	10,000.00
Checking Account: Bank of Southern California	1129-000	763.27
Checking Account: El Paseo Bank	1129-000	134.00
Checking Account: First CA/Pacific Western Bank	1129-000	424.44
Checking Account: Horizon Community Bank	1129-000	8,425.58
Checking Account: Pacific Premier	1129-000	51.26
Checking Account: Rabo Bank	1129-000	2,525.88
Checking Account: Wells Fargo	1129-000	101.26
Corporate tax refund from FTB	1224-000	800.00
Optum Bank Funds	1229-000	224.91
Fraudulent Transfer Action Adv. 6:15-01307	1241-000	80,000.00
Preferential Transfer Action Adv. 6:15-01309	1241-000	105,000.00
Liquidating Trust Assets	1249-000	80,000.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$494,291.47</b>

<sup>1</sup> The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

### EXHIBIT 2 - FUNDS PAID TO DEBTOR & THIRD PARTIES

PAYEE	DESCRIPTION	UNIFORM TRANS. CODE	\$ AMOUNT PAID
	None		
<b>TOTAL FUNDS PAID TO DEBTOR &amp; THIRD PARTIES</b>			

### EXHIBIT 3 - SECURED CLAIMS

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
NOTFILED	GE Capital Bank, NA	4110-000	0.00	0.00	0.00	0.00
00005	Coachella Medical Management, Inc	4210-000	3,857,171.10	3,085,736.00	0.00	0.00
	Fraley & Associates in trust for Revere Fin. Corp.	4210-000	N/A	138,705.86	138,705.86	138,705.86

	Revere Financial Corporation	4210-000	N/A	43,493.00	43,493.00	43,493.00
00011	Revere Financial Corporation	4220-000	2,500,000.00	4,000,000.00	0.00	0.00
<b>TOTAL SECURED CLAIMS</b>			<b>\$6,357,171.10</b>	<b>\$7,267,934.86</b>	<b>\$182,198.86</b>	<b>\$182,198.86</b>

**EXHIBIT 4 - CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
A. CISNEROS, TRUSTEE	2100-000	N/A	27,964.57	27,964.57	27,964.57
A. CISNEROS, TRUSTEE	2200-000	N/A	288.86	288.86	288.86
MARSHACK HAYS, LLP	3210-000	N/A	467,681.50	467,681.50	245,332.46
MARSHACK HAYS, LLP	3220-000	N/A	19,240.35	19,240.35	19,240.35
Hahn Fife & Company, LLP	3410-000	N/A	9,220.00	9,220.00	9,220.00
Hahn Fife & Company, LLP	3420-000	N/A	889.90	889.90	889.90
United States Bankruptcy Court	2700-000	N/A	2,100.00	2,100.00	2,100.00
INTERNATIONAL SURETIES, LTD.	2300-000	N/A	234.37	234.37	234.37
Public Storage 25727	2410-000	N/A	974.05	974.05	974.05
ASSOCIATED BANK	2600-000	N/A	84.19	84.19	84.19
FRANCHISE TAX BOARD	2820-000	N/A	5,763.86	5,763.86	5,763.86
<b>TOTAL CHAPTER 7 ADMIN. FEES and CHARGES</b>		<b>N/A</b>	<b>\$534,441.65</b>	<b>\$534,441.65</b>	<b>\$312,092.61</b>

**EXHIBIT 5 - PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
<b>TOTAL PRIOR CHAPTER ADMIN. FEES and CHARGES</b>					

**EXHIBIT 6 - PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
00002-2	Internal Revenue Service (IRS)	5800-000	242,954.73	276,625.25	276,625.25	0.00
NOTFILED	Internal Revenue Service (IRS)	5800-000	235,655.50	0.00	0.00	0.00
NOTFILED	Internal Revenue Service (IRS)	5800-000	209,627.32	0.00	0.00	0.00
NOTFILED	Internal Revenue Service (IRS)	5800-000	212,527.38	0.00	0.00	0.00
NOTFILED	Internal Revenue Service (IRS)	5800-000	17,943.01	0.00	0.00	0.00
NOTFILED	Internal Revenue Service (IRS)	5800-000	238,234.45	0.00	0.00	0.00

NOTFILED Internal Revenue Service (IRS)	5800-000	240,495.77	0.00	0.00	0.00
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>		<b>\$1,397,438.16</b>	<b>\$276,625.25</b>	<b>\$276,625.25</b>	<b>\$0.00</b>

**EXHIBIT 7 - GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
00001	Law Offices of Thomas M Ferlauto	7100-000	0.00	76,063.10	76,063.10	0.00
00002A-2	Internal Revenue Service (IRS)	7100-000	0.00	278,572.04	278,572.04	0.00
00003	GE/Vendor Lockbox	7100-000	0.00	8,760.45	8,760.45	0.00
00004	American Express Bank, FSB	7100-000	0.00	0.00	0.00	0.00
00006	Employment Development Department (EDD)	7100-000	0.00	0.00	0.00	0.00
00007	State Board of Equalization (SBE)	7100-000	0.00	0.00	0.00	0.00
00008	Franchise Tax Board (FTB)	7100-000	0.00	0.00	0.00	0.00
00009	Jerry Wang, as Receiver	7100-000	0.00	1,021,095.00	1,021,095.00	0.00
00010	Helen R. Frazer, Chapter 7 Trustee for the Estate of Douglas	7100-000	0.00	0.00	0.00	0.00
00011A	Revere Financial Corporation	7100-000	0.00	1,500,000.00	1,500,000.00	0.00
00013	Kajan Mather & Barish, APC	7200-000	0.00	115,424.00	0.00	0.00
NOTFILED	Baleine, LP	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Bank of Southern California	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Buchalter Nemer	7100-000	0.00	0.00	0.00	0.00
NOTFILED	BWI Consulting LLC	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Douglas J Roger, MD	7100-000	87,589.70	0.00	0.00	0.00
NOTFILED	First Data Global Leasing	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Fraley & Associates	7100-000	0.00	0.00	0.00	0.00
NOTFILED	GE Capital Bank, NA	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Jerry Wang,Court-Appointed Receiver	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Meriann Plamondon, CPA, APC	7100-000	0.00	0.00	0.00	0.00
NOTFILED	Nicole Ebarb	7100-000	0.00	0.00	0.00	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$87,589.70</b>	<b>\$2,999,914.59</b>	<b>\$2,884,490.59</b>	<b>\$0.00</b>

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

Exhibit 8

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation

**Trustee:** Arturo M. Cisneros  
**Filed (f) or Converted (c):** 10/20/13 (f)  
**§341(a) Meeting Date:** 11/26/13  
**Claims Bar Date:** 07/20/15

**Period Ending:** 10/30/20

1 Ref #	2 Asset Description (Scheduled And Unscheduled (u) Property)	3 Petition/ Unscheduled Values	4 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	5 Property Abandoned OA=§554(a) Abandon	6 Sale/Funds Received by the Estate	7 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1	Checking Account: Bank of Southern California Acct 6524 (CMV as of 9/30/13)	16,503.53	763.27		763.27	FA
2	Checking Account: El Paseo Bank Acct 3199 (CMV as of 9/30/13)	144.00	134.00		134.00	FA
3	Checking Account: Pacific Premier Acct 0613 (CMV as of 9/30/13)	76.26	51.26		51.26	FA
4	Checking Account: First CA/Pacific Western Bank Acct 4208 (CMV as of 9/30/13)	435.44	424.44		424.44	FA
5	Checking Account: Rabo Bank Acct 9057 (CMV as of 9/30/13)	95.51	2,489.77		2,525.88	FA
6	Checking Account: Wells Fargo Acct 9378 (CMV as of 9/30/13)	101.26	101.26		101.26	FA
7	Checking Account: Horizon Community Bank Acct no 0428 (CMV as of 9/30/13)	3,425.58	8,425.58		8,425.58	FA
8	Checking Account: Rabo Bank Acct 6089 (CMV as of 9/30/13); See Asset No. 5	0.00	0.00		0.00	FA
9	Deposit of legal fees: Kajan Mather & Barish Corp (CMV as of 9/30/13); Litigation is ongoing via an adversary proceeding. Claim to be resolved via adversary. See Asset No. 20.	17,500.00	17,500.00		0.00	FA
10	Deposit of fees with Accountants (CMV as of 9/30/13)	3,000.00	3,000.00		0.00	FA

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11	<p>Accounts Receivable being collected by CMM as of 10/1/13= \$7,714,342.20</p> <p>On November 19, 2013, CMM and the Trustee entered into the stipulation between Chapter 7 Trustee and Coachella Medical Management, Inc., for the collection and turnover of assets of Debtor ("Collection and Turnover Stipulation").</p> <p>On February 24, 2014, the Court entered an order approving in part and disapproving in part the Collection and Turnover Stipulation.</p> <p>On or about November 19, 2013, CMM and the Trustee entered into the stipulation for carveout of secured claim ("Carve-Out Stipulation"). The Carve-Out Stipulation provided for a carve-out of CMM's alleged secured claim in favor of allowed administrative and unsecured claims.</p> <p>On February 24, 2014, the Court entered an Order approving in part and disapproving in part the Carve-Out Stipulation. Trustee concluded that Revere's claim and CMM's rights to one-half of collections likely will exceed the amount of collectible A/R.</p> <p>On June 30, 2015, CMM, Revere, and the Trustee (collectively, the "Parties") entered into a stipulation wherein the Parties agreed, inter alia, that: (1) CMM would continue to collect A/R; (2) CMM would receive 50% of all amounts collected as compensation; and (3) the remaining 50% would be remitted directly by CMM to Revere ("Final Collection Stipulation"). On July 9, 2015, the Court entered an order approving the Final Collection Stipulation.</p> <p>Between late June, 2015 and November 18, 2015, CMM mistakenly continued to remit 50% of all amounts collected ("Encumbered Funds") to the Trustee rather than Revere pursuant to the Final Collection Stipulation. The Encumbered Funds amount to approximately \$43,493.</p> <p>Therefore a Motion for Order Abandoning the Estate's Interest in ARs and Authorizing Trustee to Disburse Funds to Revere was filed on 12/7/15. The Motion to Abandon was approved per Court order entered on 12/30/15 and Trustee disbursed funds (\$43k) to Revere.</p>	3,085,736.88	500,000.00		204,099.37	FA

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12	Funds held by Receiver, Jerry Wang as of 9/30/2013 Funds held by Receiver, Jerry Wang as of 9/30/2013. Trustee's motion for surcharge denied. No value for the estate.; Transference of funds by receiver (per letter dated 11/3/15).	45,061.95	0.00		1,741.50	FA
13	Funds held under writ of attachment by Revere Creditor, Revere Financial Corporation as of 9/30/2013. Trustee's motion for surcharge denied. No value for the estate.	9,006.06	0.00		0.00	FA
14	Accounts Receivable being collected by BWI BWI Consulting, LLC as of 10/11/13= \$1,201,640.87 Litigation is ongoing via an adversary proceeding. Claim to be resolved via adversary proceeding. See Asset No. 23.	961,312.70	50,000.00		0.00	FA
15	2006 Chrysler 300 (Titled in Orthopedic Institute of The Coachella Valley OR Douglas J Roger, MD)	6,750.00	10,000.00		10,000.00	FA
16	2006 Mercedes CLS55 (Titled in Douglas J Roger, MD's name only but Debtor is equitable owner of vehicle). Vehicle totaled in accident and funds determined to be property of individual's bankruptcy estate. Funds turned over to Helen Frazier, Trustee.	23,825.00	0.00		0.00	FA
17	Leasehold interest in a Sharp Copier Leasehold interest in a Sharp Copier. No value for the estate.	0.00	0.00		0.00	FA
18	Funds levied on by Revere Levied on within the 90 days prior to filing in the amount of \$8,116.29; Trustee's motion for surcharge denied. No value for the estate.	8,116.29	0.00		0.00	FA



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19	Fraudulent Transfer Adv. 6:15-01303 (u) Filed 10/20/15. A. Cisneros vs. American Express Litigation is ongoing. Status conference continued to December 13, 2017, pending resolution of the compromise motion between Trustee and Revere. Status conference further continued to May 16, 2018. Via a stipulation order entered on 8/13/18, status conference continued to November 28, 2018 pending the outcome of the filed appeal. Status conference continued further to February 27, 2019. By stipulation the status conference was further continued to May 29, 2019. Pending the order of the second joint motion, the status conference was continued to August 28, 2019. Asset transferred and assigned to the Liquidating Trust as a 'Liquidating Trust Asset' pursuant to the Order Granting Second Joint Motion by Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation entered on 8/9/19.	0.00	248,428.49		0.00	FA
20	Fraudulent Transfer Adv. 6:15-01304 (u) Filed 10/20/15. A. Cisneros vs. Kajan Mather & Barish Litigation is ongoing. Status conference continued to December 13, 2017. A Stipulation by all Parties to Dismiss the Adversary Proceeding was filed on 12/14/17. Order pending.	0.00	124,517.00		0.00	FA
21	Fraudulent Transfer Action Adv. 6:15-01306 (u) A. Cisneros vs. Paula Evans. Filed 10/20/15. Dismissed 05/05/16	0.00	215,325.00		0.00	FA

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**Period Ending:** 10/30/20

1		2	3	4	5	6
Ref #	Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA=§554(a) Abandon	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
22	Fraudulent Transfer Action Adv. 6:15-01307 (u) A. Cisneros vs. OIC, et.al. Filed 10/20/16 Litigation is ongoing. A Motion to approve compromise was filed on 4/6/16 for a settlement amount of \$30,000. After opposition was filed, a hearing was set, but same has been continued several times pending a settlement with Revere, a creditor of the estate. Pursuant to the Court's Order Requiring Overbid Procedures Be Set entered on 7/19/17, Trustee filed the Motion for Approval of Bidding Procedures on 7/26/17, and the hearing was set for same on 8/2/17. After overbid, the winning bid was for \$80,000 by Revere. On 10/23/17, the adversary parties filed a stipulation to continue the status conference (2/14/18) and response deadline (to 1/12/18) to complaint. An Order granting same was entered on 10/26/17. Status conference further continued to May 16, 2018. The Orders Approving Motion to Approve Compromise, Motion to Enforce Settlement Agreement, and Motion for Approval of Bidding Procedures were all entered on 5/10/18. Pursuant to the sale of the claim approved on 5/10/18 and the Notice of Assignment of Claim filed on 5/29/18 in the adversary, the right, title, and interest in the claim was sold, assigned, transferred and conveyed to Revere. Pursuant to the Order Granting Second Joint Motion By Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation, Revere has no security interest in this asset and is excluded from the 'Liquidated Trust Assets.'	0.00	2,547,020.00		80,000.00	FA

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1		2	3	4	5	6
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23	Fraudulent Transfer Action Adv. 6:15-01308 (u) Filed 10/20/15. Cisneros v. BWI Consulting, LLC Litigation is ongoing. Pursuant to the Stipulation filed on 8/29/17 (and approved on 8/30/17), the status conference hearing was continued to 12/13/17. Status conference further continued to May 16, 2018. Via a stipulation order entered on 8/13/18, status conference continued to November 28, 2018. Status conference continued to 2/27/19. Status conference continued to 5/29/19. Further continuance granted to 8/28/19 pending the order of the Second Joint Motion. Asset transferred and assigned to the Liquidating Trust as a 'Liquidating Trust Asset' pursuant to the Order Granting Second Joint Motion by Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation entered on 8/9/19.	0.00	384,108.00		0.00	FA

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1		2	3	4	5	6
Ref #	Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA=§554(a) Abandon	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
24	<p>Preferential Transfer Action Adv. 6:15-01309 (u) Filed 10/20/15. Cisneros v. Douglas J. Roger, M.D., Inc., Defined Benefit Plan Litigation is ongoing. A Motion to approve compromise was filed on 4/6/16 for a settlement amount of \$50,000. After opposition was filed, a hearing was set, but same has been continued several times pending a settlement with Revere, a creditor of the estate. Pursuant to the Court's Order Requiring Overbid Procedures Be Set entered on 7/19/17, Trustee filed the Motion for Approval of Bidding Procedures on 7/26/17, and the hearing was set for same on 8/2/17. After overbid, the winning bid was for \$105,000 by DBP. On 10/23/17, the adversary parties filed a stipulation to continue the status conference (2/14/18) and response deadline (to 1/12/18) to complaint. An Order granting same was entered on 10/26/17. Status conference further continued to May 16, 2018. The Orders Approving Motion to Approve Compromise, Motion to Enforce Settlement Agreement, and Motion for Approval of Bidding Procedures were all entered on 5/10/18. Status hearing scheduled for 10/31/18. Pursuant to the sale of the claim approved on 5/10/18 and the Notice of Assignment of Claim filed on 10/30/18, the right, title, and interest in the claim was sold, assigned, transferred and conveyed to Douglas J. Roger, MD, Inc. Defined Benefit Plan. The adversary was dismissed with prejudice on 10/30/18. Pursuant to the Order Granting Second Joint Motion By Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation, Revere has no security interest in this asset and is excluded from the 'Liquidated Trust Assets.'</p>	0.00	134,688.00		105,000.00	FA

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

Exhibit 8

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation

**Trustee:** Arturo M. Cisneros  
**Filed (f) or Converted (c):** 10/20/13 (f)  
**\$341(a) Meeting Date:** 11/26/13  
**Claims Bar Date:** 07/20/15

**Period Ending:** 10/30/20

1		2	3	4	5	6
Ref #	Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA=§554(a) Abandon	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
25	Transfers to Dr. Freedman (u) A review of the Debtor's books and records revealed that Dr. Freedman received transfers in the form of payments in the total amount of \$17,255.03 from the Debtor within ninety days of the Debtor's bankruptcy filing. On September 25, 2015, the Trustee sent a demand letter to Dr. Freedman demanding repayment of the Prepetition Transfers as preferential transfers under 11 U.S.C. § 547. Dr. Freedman denied same. Trustee and Dr. Freedman entered into negotiations and agreed to settle for \$14,000. A Motion to Approve Compromise was filed on 4/15/16. After an opposition was filed, a hearing was set, but same has been continued several times, pending a settlement with Revere, a creditor of the estate. Hearing on motion continued to 12/13/17. The hearing on motion was further continued to 2/14/18. Pursuant to the Appeal order reversing the Court's Auction Sale Order, a hearing on the Second Joint Motion was set for 8/28/19. Asset transferred and assigned to the Liquidating Trust as a 'Liquidating Trust Asset' pursuant to the Order Granting Second Joint Motion by Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation entered on 8/9/19.	0.00	24,187.62		0.00	FA
26	Optum Bank Funds (u) Funds turned over	0.00	224.91		224.91	FA

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation

**Trustee:** Arturo M. Cisneros  
**Filed (f) or Converted (c):** 10/20/13 (f)  
**\$341(a) Meeting Date:** 11/26/13  
**Claims Bar Date:** 07/20/15

**Period Ending:** 10/30/20

1 Ref #	2 Asset Description (Scheduled And Unscheduled (u) Property)	3 Petition/ Unscheduled Values	4 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	5 Property Abandoned OA=\$554(a) Abandon	6 Sale/Funds Received by the Estate	7 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
27	Liquidating Trust Assets (u) Several assets were transferred and assigned ('Assigned Claims') to the Liquidating Trust as 'Liquidating Trust Assets' pursuant to the Order Granting Second Joint Motion by Chapter 7 Trustee and Revere Financial Corporation for Order Approving Settlement Between Chapter 7 Trustee and Revere Financial Corporation entered on 8/9/19. The Estate has a residual interest in the proceeds from RFC's prosecution of the Assigned Claims. The Estate's interest is in 25% of back-end litigation recoveries after fees and costs associated with the prosecution of the Assigned Claims are paid, and after RFC has received its 75% interest in the recoveries. In the interim, while such claims are being prosecuted, which could be years, the Trustee determined that it was in the interest of the estate to close the case with an unadministered asset. A Motion to Close Case with Unadministered Asset was filed on 3/13/20. Order pending.	0.00	Unknown		80,000.00	0.00
28	Corporate tax refund from FTB (u)	0.00	0.00		800.00	FA
<b>TOTALS (Excluding Unknown Values)</b>		<b>\$4,181,090.46</b>	<b>\$4,271,388.60</b>		<b>\$494,291.47</b>	<b>\$0.00</b>

**Major activities affecting case closing:**

Trustee's Final Report submitted to the Office of the U.S. Trustee's on May 29, 2020.

\*\*\*\*\*

3/31/20 QUARTERLY STATUS: Trustee received the expected \$80,000. As it is uncertain whether the Estate will receive additional funds relating to the Liquidating Trust Asset, Trustee, via counsel, filed a Motion to Close Case with Unadministered Asset on 3/13/20. Order is pending. Estate tax returns are required, and same have been requested.

\*\*\*\*\*

12/31/19 QUARTERLY STATUS: There is a pending priority payment of \$80,000 due to the Estate from the Liquidating Trust-DJRI; however the funds are not available until next year.

\*\*\*\*\*

9/30/19 QUARTERLY STATUS: The order granting the Second Joint Motion Between Chapter 7 Trustee and Revere Financial was entered on 8/9/19. Pursuant to the settlement regarding same, Trustee disbursed funds to Revere and the unadministered assets have been fully administered or transferred to the Liquidating Trust. Closing in progress.

\*\*\*\*\*

TIR 06/30/19: Pursuant to the District Court's Memorandum issued on March 26, 2019 reversing and vacating the appealed sale order, a hearing on the Second Joint Motion regarding the settlement between Revere Financial and Trustee was set for 7/10/19. Pending outcome of same, several of the pending assets will become administered and Trustee may proceed with the closing process, including request for preparation of tax returns.

\*\*\*\*\*

3/31/19 QUARTERLY STATUS: Status conference of Second Compromise Motion Between Chapter 7 Trustee and Revere Financial Corporation was further continued from 3/27/19 to 6/12/19 pending appeal decision.

\*\*\*\*\*

12/31/18 QUARTERLY STATUS: Appeal is still pending.

\*\*\*\*\*

9/30/18 QUARTERLY STATUS: An appeal of the order granting and denying in part the Auction Motion was filed. Revere Financial Corporation also filed several objections to claims which were

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
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granted on 8/29/18.

\*\*\*\*\*

TIR 06/30/18: Several motions were filed and opposed, including the 'Trustee's Motion for Order Authorizing Auction of Litigation Claims Held by the Estate' ('Auction Motion'). The Auction Motion was set for hearing on June 11, 2018, along with several other substantive motions. The Auction Motion was granted in part and denied in part. Entry of order is pending.

\*\*\*\*\*

3/31/18 QUARTERLY STATUS: The Second Joint Motion to Approve Compromise with Revere was filed on 1/24/18 and the hearing for same was held on 3/28/18. The hearing was further continued to May 9, 2018 to hold a sale of claims to third parties.

\*\*\*\*\*

12/31/17 QUARTERLY STATUS: The continued hearing on the pending motion to approve compromise was continued to 2/14/18. All outstanding status conferences on the pending adversaries have been continued to 2/14/18.

\*\*\*\*\*

09/30/17 QUARTERLY STATUS: After overbid, two of the motions to approve compromise were granted and the pending one was continued to December 13, 2017. The Joint Motion by Chapter 7 Trustee and Revere was voluntarily withdrawn on 9/15/17.

\*\*\*\*\*

TIR 06/30/17: The Motion to Approve Compromise with Revere was set for hearing on 6/14/17 and the Court, on its own motion, continued all the Motions to Approve Compromises to 6/28/17. Trustee and counsel attended the hearings on June 28th hoping to settle all the motions, but the hearings were further continued to August 2, 2017.

\*\*\*\*\*

\*\*\*\*\*

03/31/17 QUARTERLY STATUS: A Motion to Approve Compromise Under Rule 9019 filed Jointly by Chapter 7 Trustee and Revere Financial Corp. to Approve Settlement Contract was filed on 1/31/17 and set for a hearing on 3/1/17. All Motions to Approve Compromises were continued to May 3, 2017.

\*\*\*\*\*

12/31/16 QUARTERLY STATUS: A Motion to Consolidate bankruptcy case no. 6:13-27611 with this estate was filed. An order denying the motion was entered on 11/1/16. A Motion to Enforce the Settlement Agreements was filed by Debtor and continued along with the settlement motions. Further stipulations were filed to further extend the Motions for Approval of Compromises to 11/9/16 and 2/1/17.

\*\*\*\*\*

09/30/16 QUARTERLY STATUS: Stipulations filed and approved to further continue the hearings on the Motions for Approval of Compromises to August 31, 2016 and October 5, 2016.

\*\*\*\*\*

TIR 06/30/16: Several Motions to Approve Compromise were filed in April to settle pending adversary proceedings. Oppositions were filed in all of them and therefore, the motions were set for hearing on 5/11/16 and were further continued to 6/8/16 and 6/29/16 pending a settlement with the largest creditor of the estate.

\*\*\*\*\*

QE 3/31/16 - Settlement negotiations in process for some of the adversary proceedings.

\*\*\*\*\*

12/31/15 QUARTERLY STATUS: Deadlines extended for some potential fraudulent transfer actions per tolling agreements executed and some potential fraudulent transfer actions commenced.

\*\*\*\*\*

09/30/15 QUARTERLY STATUS: Reviewing potential fraudulent transfer actions

to file prior to deadline of October 20, 2015. A/R collection ongoing.

\*\*\*\*\*

06/30/15 ANNUAL STATUS: Mediation is ongoing. Trustee is collecting outstanding receivables, and the receiver has turned over possession of a storage unit containing records to assist in that collection. Status conference scheduled for July 22, 2015.

\*\*\*\*\*

03/31/15 QUARTERLY STATUS: An order was entered vacating dismissal of the case on December 29, 2014, and on January 12, 2015, Trustee was reappointed to the case. Trustee's counsel is attempting to resolve disputes regarding the Trustee's administration of the estate the validity and amount of certain claims and potential claims against the estate the retention of professionals the potential prosecution of avoidance and non-dischargeability actions the Debtors receivables and substantive consolidation of ongoing appeals.

**Initial Projected Date of Final Report (TFR):** October 20, 2016

**Current Projected Date of Final Report (TFR):** June 30, 2020 (Actual)

October 30, 2020

Date

/s/ Arturo M. Cisneros

Arturo M. Cisneros

**Form 2**  
**Cash Receipts and Disbursements Record**

Exhibit 9

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/30/13	Asset #4	PACIFIC WESTERN BANK	Turnover of Bank Account	1129-000	424.44		424.44
10/30/13	Asset #2	EL PASEO BANK	Turnover of Bank Account	1129-000	134.00		558.44
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		760.96
10/31/13	Asset #11	Carrona & Johnson, LLP	Accounts receivable	1121-000	850.00		1,610.96
10/31/13	Asset #11	Continental Casualty Company	Accounts receivable	1121-000	91.13		1,702.09
10/31/13	Asset #11	Corvel Enterprise Comp, Inc.	Accounts receivable	1121-000	300.00		2,002.09
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	131.12		2,133.21
10/31/13	Asset #11	Public Entity Risk Management Authority	Accounts receivable	1121-000	94.17		2,227.38
10/31/13	Asset #11	Metro Risk Management	Accounts receivable	1121-000	500.00		2,727.38
10/31/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	61.76		2,789.14
10/31/13	Asset #11	FirstComp	Accounts receivable	1121-000	53.35		2,842.49
10/31/13	Asset #11	Desert Sands USD W/C	Accounts receivable	1121-000	339.69		3,182.18
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	223.53		3,405.71
10/31/13	Asset #11	ESIS, Inc.	Accounts receivable	1121-000	101.26		3,506.97
10/31/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	101.26		3,608.23



**Form 2**  
**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/13	Asset #11	York Risk Services Group-Walmart	Accounts receivable	1121-000	300.00		3,908.23
10/31/13	Asset #11	Employers Compensation Insurance Company	Accounts receivable	1121-000	101.26		4,009.49
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		4,110.75
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		4,212.01
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	802.53		5,014.54
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	50.00		5,064.54
10/31/13	Asset #11	Commerce and Industry Insurance Co.	Accounts receivable	1121-000	48.34		5,112.88
10/31/13	Asset #11	Protected Ins Program for Schools (PIPS)	Accounts receivable	1121-000	101.26		5,214.14
10/31/13	Asset #11	Oak River Insurance Company	Accounts receivable	1121-000	736.11		5,950.25
10/31/13	Asset #11	Public Entity Risk Management Authority	Accounts receivable	1121-000	94.17		6,044.42
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	334.86		6,379.28
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	750.00		7,129.28
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,946.37		9,075.65
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	2,756.25		11,831.90
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	533.18		12,365.08

**Form 2**  
**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
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**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,239.61		13,604.69
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	303.78		13,908.47
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		14,009.73
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		14,110.99
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		14,313.51
10/31/13	Asset #11	Republic Indemnity Company of California	Accounts receivable	1121-000	68.62		14,382.13
10/31/13	Asset #11	Carrona & Johnson, LLP	Accounts receivable	1121-000	1,300.00		15,682.13
10/31/13	Asset #11	Public Entity Risk Management Authority	Accounts receivable	1121-000	94.17		15,776.30
10/31/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	2,000.00		17,776.30
10/31/13	Asset #11	Esparza	Accounts receivable	1121-000	94.17		17,870.47
10/31/13	Asset #11	Crum & Forster	Accounts receivable	1121-000	101.26		17,971.73
10/31/13	Asset #11	Zurich-American Insurance Group	Accounts receivable	1121-000	1,469.03		19,440.76
10/31/13	Asset #11	The Hartford	Accounts receivable	1121-000	101.26		19,542.02
10/31/13	Asset #11	Michael A. Gulla	Accounts receivable	1121-000	1,495.00		21,037.02
10/31/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		21,131.19

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**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/13	Asset #11	American Home Assurance Company	Accounts receivable	1121-000	1,100.00		22,231.19
10/31/13	Asset #11	Protected Ins Program for Schools (PIPS)	Accounts receivable	1121-000	101.26		22,332.45
10/31/13	Asset #11	Desert Sands USD W/C	Accounts receivable	1121-000	101.26		22,433.71
10/31/13	Asset #11	ACE USA	Accounts receivable	1121-000	101.26		22,534.97
10/31/13	Asset #11	Employers Compensation Insurance Company	Accounts receivable	1121-000	101.26		22,636.23
10/31/13	Asset #11	TOPA Insurance Company	Accounts receivable	1121-000	91.13		22,727.36
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	4,950.00		27,677.36
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	467.18		28,144.54
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	552.84		28,697.38
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		28,798.64
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,533.12		30,331.76
10/31/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		30,433.02
10/31/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		30,527.19
10/31/13	Asset #11	California Insurance Guarantee Assn.	Accounts receivable	1121-000	94.17		30,621.36
10/31/13	Asset #11	Liberty Mutual - Wausau	Accounts receivable	1121-000	250.48		30,871.84

**Form 2**  
**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
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**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/13	Asset #11	Liberty Mutual - Wausau	Accounts receivable	1121-000	1,749.52		32,621.36
10/31/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	1,900.00		34,521.36
10/31/13	Asset #11	L. Ciro Sapetto	Accounts receivable	1121-000	2,787.00		37,308.36
10/31/13	Asset #11	OB Services	Accounts receivable	1121-000	91.13		37,399.49
11/05/13	Asset #6	Wells Fargo Bank, N.A.	Turnover of Bank Account	1129-000	101.26		37,500.75
11/05/13	Asset #5	Rabobank, N.A.	Turnover of Bank Account	1129-000	2,489.77		39,990.52
11/05/13	Asset #1	Bank of Southern California	Turnover of Bank Account	1129-000	763.27		40,753.79
11/07/13		ASSOCIATED BANK	BANK SERVICE FEE	2600-000		10.00	40,743.79
11/14/13	Asset #7	HORIZON COMMUNITY BANK	Turnover of Bank Account	1129-000	8,425.58		49,169.37
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	324.79		49,494.16
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	324.79		49,818.95
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		50,021.47
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,229.14		51,250.61
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		51,351.87
11/22/13	Asset #11	Broadspire Services Inc.	Accounts receivable	1121-000	94.17		51,446.04

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**Case Number:** 13-27344 MH  
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**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/22/13	Asset #11	CNA	Accounts receivable	1121-000	200.00		51,646.04
11/22/13	Asset #11	ESIS	Accounts receivable	1121-000	101.26		51,747.30
11/22/13	Asset #11	ZUR American Truste & Savings Bank	Accounts receivable	1121-000	91.13		51,838.43
11/22/13	Asset #11	Proteected Ins Program for Schools	Accounts receivable	1121-000	14.02		51,852.45
11/22/13	Asset #11	First Comp	Accounts receivable	1121-000	94.17		51,946.62
11/22/13	Asset #11	Crum & Forster	Accounts receivable	1121-000	101.26		52,047.88
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		52,149.14
11/22/13	Asset #11	Desert Sands USD W/C	Accounts receivable	1121-000	157.40		52,306.54
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		52,407.80
11/22/13	Asset #11	Republic Indemnity Company of America	Accounts receivable	1121-000	68.62		52,476.42
11/22/13	Asset #11	Amtrust North America. Inc.	Accounts receivable	1121-000	628.14		53,104.56
11/22/13	Asset #11	Amtrust North America. Inc.	Accounts receivable	1121-000	60.50		53,165.06
11/22/13	Asset #11	Liberty Mututal - Wausau	Accounts receivable	1121-000	101.26		53,266.32
11/22/13	Asset #11	City of Palm Springs	Accounts receivable	1121-000	94.17		53,360.49
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		53,461.75

**Form 2**  
**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		53,542.36
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		53,622.97
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		53,703.58
11/22/13	Asset #11	Gallagher Bassett Services Inc.	Accounts receivable	1121-000	80.61		53,784.19
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	76.13		53,860.32
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		53,940.93
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,021.54
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,102.15
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,182.76
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,263.37
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,343.98
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,424.59
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,505.20
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,585.81
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	89.57		54,675.38

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	80.61		54,755.99
11/22/13	Asset #11	Tristar Risk Management	Accounts receivable	1121-000	94.17		54,850.16
11/22/13	Asset #11	Quirk Law Firm LLP	Accounts receivable	1121-000	398.53		55,248.69
11/22/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		55,342.86
11/22/13	Asset #11	York Risk Services Group Inc	Accounts receivable	1121-000	94.17		55,437.03
11/22/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	2,200.00		57,637.03
11/22/13	Asset #11	Crum & Forster	Accounts receivable	1121-000	101.26		57,738.29
11/22/13	Asset #11	Vanliner Insurance Company	Accounts receivable	1121-000	250.00		57,988.29
11/22/13	Asset #11	TRISTAR Risk Management	Accounts receivable	1121-000	250.00		58,238.29
11/22/13	Asset #11	Berkley Insurance Company	Accounts receivable	1121-000	400.00		58,638.29
11/22/13	Asset #11	Michael A. Gulla	Accounts receivable	1121-000	1,500.00		60,138.29
11/22/13	Asset #11	Pennsylvania Manufacturers Assoc.	Accounts receivable	1121-000	94.17		60,232.46
11/22/13	Asset #11	The Hartford	Accounts receivable	1121-000	101.26		60,333.72
11/22/13	Asset #11	Macy's	Accounts receivable	1121-000	68.62		60,402.34
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		60,503.60

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	91.13		60,594.73
11/22/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	1,200.00		61,794.73
11/22/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	990.00		62,784.73
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	171.31		62,956.04
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,350.29		64,306.33
11/22/13	Asset #11	ACE USA	Accounts receivable	1121-000	101.26		64,407.59
11/22/13	Asset #11	The Hartford	Accounts receivable	1121-000	1,300.00		65,707.59
11/22/13	Asset #11	Fireman*s Fund	Accounts receivable	1121-000	91.13		65,798.72
11/22/13	Asset #11	Gonzalez & Garcia	Accounts receivable	1121-000	750.00		66,548.72
11/22/13	Asset #11	Travelers	Accounts receivable	1121-000	23.89		66,572.61
11/22/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	91.13		66,663.74
11/22/13	Asset #11	F.A. Richard & Associates, Inc.	Accounts receivable	1121-000	94.17		66,757.91
11/22/13	Asset #11	First Comp	Accounts receivable	1121-000	94.17		66,852.08
11/22/13	Asset #11	ACE USA	Accounts receivable	1121-000	500.00		67,352.08
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		67,554.60



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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	325.23		67,879.83
11/22/13	Asset #11	Farmers Insurance Exchange	Accounts receivable	1121-000	52.94		67,932.77
11/22/13	Asset #11	Gallagher Bassett Services INC	Accounts receivable	1121-000	48.34		67,981.11
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	625.00		68,606.11
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		68,707.37
11/22/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	2,786.91		71,494.28
11/22/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		71,588.45
11/22/13	Asset #11	Protected Ins Program for Schools	Accounts receivable	1121-000	101.26		71,689.71
11/22/13	Asset #11	ACE USA	Accounts receivable	1121-000	101.26		71,790.97
12/04/13	Asset #11	ESIS	Accounts receivable	1121-000	89.57		71,880.54
12/04/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		71,974.71
12/04/13	Asset #11	ACE USA	Accounts receivable	1121-000	101.26		72,075.97
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	74.32		72,150.29
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	548.32		72,698.61
12/04/13	Asset #11	Tristar Risk Management	Accounts receivable	1121-000	91.13		72,789.74

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/04/13	Asset #11	MCS - on behalf of Marriott	Accounts receivable	1121-000	91.13		72,880.87
12/04/13	Asset #11	California Insurance Guarantee	Accounts receivable	1121-000	61.76		72,942.63
12/04/13	Asset #11	Crum & Forster	Accounts receivable	1121-000	101.26		73,043.89
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	131.12		73,175.01
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	303.78		73,478.79
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		73,580.05
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		73,681.31
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		73,782.57
12/04/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	96.59		73,879.16
12/04/13	Asset #11	Gallagher Bassett Services Inc	Accounts receivable	1121-000	91.13		73,970.29
12/04/13	Asset #11	Western Workers* Compensation	Accounts receivable	1121-000	53.71		74,024.00
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		74,125.26
12/04/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		74,219.43
12/04/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		74,313.60
12/04/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		74,407.77

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/04/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	101.26		74,509.03
12/04/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	101.26		74,610.29
12/04/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	101.26		74,711.55
12/04/13	Asset #11	Western Workers* Compensation	Accounts receivable	1121-000	68.62		74,780.17
12/04/13	Asset #11	Western Workers* Compensation	Accounts receivable	1121-000	719.85		75,500.02
12/04/13	Asset #11	Western Workers* Compensation	Accounts receivable	1121-000	53.71		75,553.73
12/04/13	Asset #11	Western Workers* Compensation	Accounts receivable	1121-000	359.24		75,912.97
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	911.34		76,824.31
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	26.88		76,851.19
12/04/13	Asset #11	The Insurance Company	Accounts receivable	1121-000	48.34		76,899.53
12/04/13	Asset #11	The Insurance Company	Accounts receivable	1121-000	343.22		77,242.75
12/04/13	Asset #11	PIPS Year 8 XS Riverside	Accounts receivable	1121-000	101.26		77,344.01
12/04/13	Asset #11	Broadspire Services Inc on behalf of AIG	Accounts receivable	1121-000	94.17		77,438.18
12/04/13	Asset #11	Gallagher Bassett Svices Inc	Accounts receivable	1121-000	61.76		77,499.94
12/04/13	Asset #11	Eisernhower Medical Center	Accounts receivable	1121-000	91.13		77,591.07

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/04/13	Asset #11	State of California	Accounts receivable	1121-000	94.17		77,685.24
12/04/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	427.97		78,113.21
12/06/13		ASSOCIATED BANK	BANK SERVICE FEE	2600-000		74.19	78,039.02
12/18/13	Asset #11	PPG INDUSTRIES	Accounts receivable	1121-000	94.17		78,133.19
12/18/13	Asset #11	California Restaurant Mutual Benefit	Accounts receivable	1121-000	99.59		78,232.78
12/18/13	Asset #11	Desert Sands USD W/C	Accounts receivable	1121-000	131.12		78,363.90
12/18/13	Asset #11	TOPA INSURANCE COMPANY	Accounts receivable	1121-000	91.13		78,455.03
12/18/13	Asset #11	Walter Clark Legal Group	Accounts receivable	1121-000	2,400.00		80,855.03
12/18/13	Asset #11	PPG INDUSTRIES	Accounts receivable	1121-000	225.79		81,080.82
12/18/13	Asset #11	GALLAGHER BASSETT SERVICES INC	Accounts receivable	1121-000	505.78		81,586.60
12/18/13	Asset #11	TRAVELERS	Accounts receivable	1121-000	66.89		81,653.49
12/18/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	694.19		82,347.68
12/18/13	Asset #11	PROTECTED INS PROGRAM FOR SCHOOLS (PIP)	Accounts receivable	1121-000	57.37		82,405.05
12/18/13	Asset #11	PROTECTED INS PROGRAM FOR SCHOOLS (PIP)	Accounts receivable	1121-000	900.00		83,305.05
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	74.32		83,379.37

**Form 2**  
**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	365.19		83,744.56
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	10.63		83,755.19
12/18/13	Asset #11	CASTILLO & ASSOCIATES AT LAW	Accounts receivable	1121-000	500.00		84,255.19
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,171.14		85,426.33
12/18/13	Asset #11	REPUBLIC UNDERWRTIERS INSURANCE CO.	Accounts receivable	1121-000	135.89		85,562.22
12/18/13	Asset #11	OB SERVICES	Accounts receivable	1121-000	91.13		85,653.35
12/18/13	Asset #11	Palm Springs Unified School District	Accounts receivable	1121-000	280.74		85,934.09
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	241.78		86,175.87
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	96.00		86,271.87
12/18/13	Asset #11	CORVEL CORPORATION	Accounts receivable	1121-000	94.17		86,366.04
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	235.22		86,601.26
12/18/13	Asset #11	GREAT WEST CASUALTY COMPANY	Accounts receivable	1121-000	776.78		87,378.04
12/18/13	Asset #11	GALLAGHER BASSET SERVICES INC	Accounts receivable	1121-000	66.89		87,444.93
12/18/13	Asset #11	LIBERTY MUTUAL - WAUSAU	Accounts receivable	1121-000	101.26		87,546.19
12/18/13	Asset #11	AMTRUST NORTH AMERICA, INC.	Accounts receivable	1121-000	129.99		87,676.18

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		87,777.44
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	378.55		88,155.99
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		88,257.25
12/18/13	Asset #11	CNA	Accounts receivable	1121-000	61.76		88,319.01
12/18/13	Asset #11	Liberty Mutual Insurance	Accounts receivable	1121-000	202.52		88,521.53
12/18/13	Asset #11	California Restaurant Mutual Benefit	Accounts receivable	1121-000	80.61		88,602.14
12/18/13	Asset #11	State of California	Accounts receivable	1121-000	327.16		88,929.30
12/18/13	Asset #11	First Comp	Accounts receivable	1121-000	400.00		89,329.30
12/18/13	Asset #11	Valley Insurance Program - 2366/W	Accounts receivable	1121-000	94.17		89,423.47
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	298.50		89,721.97
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		89,823.23
12/18/13	Asset #11	Esparza	Accounts receivable	1121-000	101.26		89,924.49
12/18/13	Asset #11	Public Entity Risk	Accounts receivable	1121-000	94.17		90,018.66
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	191.15		90,209.81
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		90,311.07

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/18/13	Asset #11	Riverside Risk Mgmt Authority -DS	Accounts receivable	1121-000	101.26		90,412.33
12/18/13	Asset #11	National Union Fire Insurance	Accounts receivable	1121-000	61.76		90,474.09
12/18/13	Asset #11	New Hampshire Insurance Company	Accounts receivable	1121-000	61.76		90,535.85
12/18/13	Asset #11	Commerce and Industry Insurance Co.	Accounts receivable	1121-000	48.34		90,584.19
12/18/13	Asset #11	Crum & Forster	Accounts receivable	1121-000	101.26		90,685.45
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		90,887.97
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	202.52		91,090.49
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	434.78		91,525.27
12/18/13	Asset #11	Law Offices of Michael R. Kaiser	Accounts receivable	1121-000	1,000.00		92,525.27
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,200.00		93,725.27
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	101.26		93,826.53
12/18/13	Asset #11	Amtrust North America, Inc.	Accounts receivable	1121-000	60.50		93,887.03
12/18/13	Asset #11	United Heartand	Accounts receivable	1121-000	129.34		94,016.37
12/18/13	Asset #11	Christopher C. Vader	Accounts receivable	1121-000	500.00		94,516.37
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	1,716.16		96,232.53

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/18/13	Asset #11	Truck Insurance Ltd. (Sparta)	Accounts receivable	1121-000	1,435.19		97,667.72
12/18/13	Asset #11	Law Offices of Kenneth C. Gregory	Accounts receivable	1121-000	2,281.05		99,948.77
12/18/13	Asset #11	Law Office of Jeff M, Yoss, APC	Accounts receivable	1121-000	700.00		100,648.77
12/18/13	Asset #11	The Insurance Company of the State of	Accounts receivable	1121-000	1,800.00		102,448.77
12/18/13	Asset #11	Law Offices of Sergio F. Bendetto, Inc.	Accounts receivable	1121-000	650.00		103,098.77
12/18/13	Asset #11	Law Offices of Nordstrom, Steele,	Accounts receivable	1121-000	600.00		103,698.77
12/18/13	Asset #11	Law Offices of Nordstrom, Steele,	Accounts receivable	1121-000	587.50		104,286.27
12/18/13	Asset #11	Gilbert Bourke LLP	Accounts receivable	1121-000	600.00		104,886.27
12/18/13	Asset #11	Law Office of Rashid Shamim	Accounts receivable	1121-000	2,600.00		107,486.27
12/18/13	Asset #11	Sedgwick Claims Management Services Inc	Accounts receivable	1121-000	825.75		108,312.02
12/18/13	Asset #11	State of California	Accounts receivable	1121-000	1,226.08		109,538.10
12/18/13	Asset #11	Liberty Mutual - Wausau	Accounts receivable	1121-000	2,045.08		111,583.18
12/18/13	Asset #11	Chubb Custom Insurance Company	Accounts receivable	1121-000	1,378.23		112,961.41
12/18/13	Asset #11	York Risk Services Gorup - Walmart	Accounts receivable	1121-000	650.00		113,611.41
12/18/13	Asset #11	Corvel Corporation	Accounts receivable	1121-000	63.81		113,675.22



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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/18/13	Asset #11	Corvel Corporation	Accounts receivable	1121-000	10.87		113,686.09
12/18/13	Asset #11	State Compensation Insurance Fund	Accounts receivable	1121-000	524.09		114,210.18
12/18/13	Asset #11	Zurich American Insurance Company	Accounts receivable	1121-000	1,600.00		115,810.18
02/11/14	Asset #3	PACIFIC PREMIER BANK	Turnover of Bank Account	1129-000	51.26		115,861.44
01/28/15	100001	INTERNATIONAL SURETIES, LTD.	Bond Premium Bond # 0106030866Term 01/04/15 to 01/04/16	2300-000		173.74	115,687.70
02/03/15	Asset #11	Coachella Medical Management, Inc.	turnover of accounts receivable	1121-000	6,892.48		122,580.18
03/06/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	8,763.74		131,343.92
03/16/15	Asset #15	Douglas J. Roger	vehicle proceeds	1129-000	10,000.00		141,343.92
03/20/15		INTERNATIONAL SURETIES, LTD.	Bond Refund	2300-000		-65.64	141,409.56
03/26/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	8,328.75		149,738.31
04/13/15	100002	Public Storage 25727	Acct # 18905193 Sp #105	2410-000		510.05	149,228.26
04/23/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	13,841.97		163,070.23
05/11/15	100003	Public Storage 25727	Acct # 18905193 Sp #105 May	2410-000		238.00	162,832.23
05/19/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	11,878.32		174,710.55
06/03/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	7,348.03		182,058.58

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** ASSOCIATED BANK  
**Account:** \*\*\*\*\*4756 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/23/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	14,570.49		196,629.07
06/23/15	100004	Public Storage 25727	Space 105 cleaning fee	2410-000		226.00	196,403.07
07/28/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	12,594.49		208,997.56
09/08/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	6,266.33		215,263.89
10/16/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	7,467.77		222,731.66
11/06/15	Asset #12	Jerry Wang, Receiver	transfer of funds from receiver	1121-000	1,741.50		224,473.16
11/20/15	Asset #11	Coachella Medical Management, Inc.	turnover of account receivable	1121-000	2,590.95		227,064.11
12/30/15	100005	Revere Financial Corporation	encumbered funds per c/o 12/30/15	4210-000		43,493.00	183,571.11
01/14/16	100006	INTERNATIONAL SURETIES, LTD.	Bond Premium Bond # 016030866Term 01/04/16 to 01/04/17	2300-000		126.27	183,444.84
03/08/16		A. CISNEROS, TRUSTEE	Transfer funds to Texas Capital Bank	9999-000		183,444.84	0.00

<b>ACCOUNT TOTALS</b>	<b>228,230.45</b>	<b>228,230.45</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	183,444.84	
<b>Subtotal</b>	<b>228,230.45</b>	<b>44,785.61</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$228,230.45</b>	<b>\$44,785.61</b>	

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** Texas Capital Bank  
**Account:** \*\*\*\*\*0071 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
03/08/16		A. Cisneros, Trustee	Transfer funds from Associated Bank	9999-000	183,444.84		183,444.84
03/25/16	Asset #5	Rabobank, N.A.	refund for processing errors	1129-000	36.11		183,480.95
04/15/16	Asset #25	Desert Hand Associates	Settlement Payment c/o pending	1241-000	14,000.00		197,480.95
04/22/16	Asset #24	Hanover & Shaw	OIC settlement c/o pending	1241-000	30,000.00		227,480.95
12/02/16	Asset #26	EPS Operations/ MN 015-2850	Optum bank funds	1229-000	224.91		227,705.86
08/10/17	Asset #24	Doling Shaw & Hanover, APC	Auction/Dr. Roger	1241-000	50,000.00		277,705.86
08/10/17	Asset #22	Revere Financial Corp.	Auction/Revere c/o pending	1241-000	50,000.00		327,705.86
08/10/17	Asset #22	Revere Financial Corp.	Auction/Revere c/o pending	1241-000	25,000.00		352,705.86
08/10/17	Asset #22	Revere Financial Corp.	Auction/Revere c/o pending	1241-000	5,000.00		357,705.86
08/10/17	Asset #24	Universal Orthopaedic Group	Auction/UOG c/o pending	1241-000	5,000.00		362,705.86
08/10/17	Asset #24	Universal Orthopaedic Group	Auction/UOG c/o pending	1241-000	5,000.00		367,705.86
08/10/17	Asset #24	Universal Orthopaedic Group	Auction/UOG c/o pending	1241-000	5,000.00		372,705.86
08/10/17	Asset #24	Universal Orthopaedic Group	Auction/UOG c/o pending	1241-000	5,000.00		377,705.86
08/10/17	Asset #24	Universal Orthopaedic Group	Auction/UOG c/o pending	1241-000	5,000.00		382,705.86
09/05/19	52001	Fraley & Associates in trust for Revere Fin. Corp.	Trustee/Revere Settlement approved per c/o entered on 8/9/19	4210-000		138,705.86	244,000.00

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** Texas Capital Bank  
**Account:** \*\*\*\*\*0071 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/05/19	Asset #25 52002	Revere Financial Corporation, as liquidating trustee for the	Liquidating Trust settlement per c/o entered 8/9/19	1241-000	-14,000.00		230,000.00
09/05/19	52003	MARSHACK HAYS LLP	Trustee's counsel fees approved per c/o entered 12/1/14	3210-000		78,936.00	151,064.00
09/05/19	52004	MARSHACK HAYS LLP	Trustee's counsel expenses approved per c/o entered 12/1/14	3220-000		2,245.69	148,818.31
01/21/20	Asset #27	Revere Financial Corporation as Liquidating Trustee for the	DJRI Estate priority distribution from liquidating trust	1249-000	80,000.00		228,818.31
04/07/20	Asset #28	Franchise Tax Board	Bank and corp tax refund (2013)	1224-000	800.00		229,618.31
07/13/20		Signature Bank	Transfer to account ending 9972	9999-000		229,618.31	0.00

<b>ACCOUNT TOTALS</b>	<b>449,505.86</b>	<b>449,505.86</b>	<b>\$0.00</b>
Less: Bank Transfers	183,444.84	229,618.31	
<b>Subtotal</b>	<b>266,061.02</b>	<b>219,887.55</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$266,061.02</b>	<b>\$219,887.55</b>	

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**Cash Receipts and Disbursements Record**

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**Case Number:** 13-27344 MH  
**Case Name:** Douglas J Roger MD Inc A Professional Corporation  
**Taxpayer ID#:** \*\*\_\*\*\*4441  
**Period Ending:** 10/30/20

**Trustee:** Arturo M. Cisneros  
**Bank Name:** Signature Bank  
**Account:** \*\*\*\*\*9972 - Checking Account  
**Blanket Bond:** \$5,000,000.00 (per case limit)  
**Separate Bond:** N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
07/13/20		Texas Capital Bank	Transfer from account ending 0071	9999-000	229,618.31		229,618.31
08/03/20	110001	Hahn Fife & Company, LLP	Dividend of 100.000000000%	3420-000		889.90	228,728.41
08/03/20	110002	MARSHACK HAYS, LLP	Dividend of 100.000000000%	3220-000		16,994.66	211,733.75
08/03/20	110003	United States Bankruptcy Court	Dividend of 100.000000000%	2700-000		2,100.00	209,633.75
08/03/20	110004	A. CISNEROS, TRUSTEE	Dividend of 100.000000000%	2100-000		27,964.57	181,669.18
08/03/20	110005	Hahn Fife & Company, LLP	Dividend of 100.000000000%	3410-000		9,220.00	172,449.18
08/03/20	110006	MARSHACK HAYS, LLP	Dividend of 52.450000000%	3210-000		166,396.46	6,052.72
08/03/20	110007	A. CISNEROS, TRUSTEE	Dividend of 100.000000000%	2200-000		288.86	5,763.86
08/03/20	110008	FRANCHISE TAX BOARD	Dividend of 100.000000000%, Claim No. AMD00012	2820-000		5,763.86	0.00

<b>ACCOUNT TOTALS</b>	<b>229,618.31</b>	<b>229,618.31</b>	<b>\$0.00</b>
Less: Bank Transfers	229,618.31	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>229,618.31</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$229,618.31</b>	

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**Cash Receipts and Disbursements Record**

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<b>TOTAL - ALL ACCOUNTS</b>	<b>Net Receipts</b>	<b>Net Disbursements</b>	<b>Account Balances</b>
Checking # *****0071	266,061.02	219,887.55	0.00
Checking # *****4756	228,230.45	44,785.61	0.00
Checking # *****9972	0.00	229,618.31	0.00
	<b>\$494,291.47</b>	<b>\$494,291.47</b>	<b>\$0.00</b>